



**Personal IBAN account
Application form**

Version CP-AP-CO-M-001.5

A. Introduction and explanations

Thank you for your interest in opening a personal IBAN account with us.

We know your time is precious. To make the account opening, and any activity that follows, as quick and easy as possible, we ask for all relevant details in this application form. Our goal is to streamline the process, gather all the necessary information in this one-step process, so you don't have to deal with many additional requests after submitting the application. Please make sure everything is complete and clear so that we can get your account up and running without delay.

Note:

- The form is carefully grouped in sections, each section has several numbered questions.
Below each question you can find help section - in grey, where applicable.

We suggest that before you start filling this application form you read the following documents:

- [ConnectPay terms and conditions](#)
- [ConnectPay agreement for IBAN account](#)
- [ConnectPay agreement for online banking](#)

Please fill in the application and email it together with the supporting documentation to clientcare@connectpay.com.

B. Basic personal details

1. First name and last name

2. Country of citizenship

3. Date of birth (DD/MM/YYYY)

4. Place of birth (city, country)

5. Type of ID submitted

- Passport National ID

ID no.

ID issue date (DD/MM/YYYY)

ID expiry date (DD/MM/YYYY)

ID issue country

ID issue city

6. Personal code

7. PEP¹ (Politically Exposed Person) status

- Yes *If yes, please state the role/position* No

PEP role/position

8. What is the purpose of the account?

Tick the box that is applicable to you

- | | | |
|--|--|--|
| <input type="checkbox"/> Household expenses | <input type="checkbox"/> Personal expenses | <input type="checkbox"/> Foreign transactions |
| <input type="checkbox"/> Loan payments | <input type="checkbox"/> Gambling fees / charges / winnings | <input type="checkbox"/> Virtual currency related transactions |
| <input type="checkbox"/> Investment related transactions | <input type="checkbox"/> Business related expenses
<i>Please provide the type of your activity.</i> | |

Other

Please provide more details.

9. Will you be the Ultimate Beneficial Owner (UBO)² of the funds in the account?

Yes

No

If no, please fill in APPENDIX A with the UBO's details.

B.1. Definitions and explanations

¹Politically exposed person (PEP) definition:

1. "Politically exposed person" or "PEP" – an individual who is entrusted with prominent public functions and immediate family members or persons known to be close associates of such person;
2. "Immediate family member" –
 - a spouse or civil partner of PEP;
 - children of PEP and the spouses or civil partners of the PEP's children;
 - parents and siblings of PEP.
3. "Known close associate" –
 - an individual known to have joint beneficial ownership of a legal entity or a legal arrangement or any other close business relations with a PEP;
 - an individual who has sole beneficial ownership of a legal entity or a legal arrangement which is known to have been set up for the benefit of a PEP.
4. "Prominent public functions" – functions within the European Union, international or foreign public authorities:
 - heads of state, heads of government, ministers and deputy or assistant ministers, secretaries of state, chancellors of parliament, government or ministry;
 - members of parliament or of similar legislative bodies;
 - members of the governing bodies of political parties;
 - members of supreme courts, of constitutional courts or of any judicial body the decisions of which are not subject to further appeal;
 - mayors and directors of administration of municipalities;
 - members of managing body of the supreme institutions of audit and control or chairmen, deputy chairmen or other members of boards of central banks;
 - ambassadors, charges d'affaires ad interim and high-ranking officers in the armed forces;
 - members of the administrative, management or supervisory bodies of State-owned enterprises or enterprises in which municipalities and/or governments possess more than ½ of votes and are considered large enterprises under laws of Republic of Lithuania;
 - directors, deputy directors and members of the board or equivalent function of an international organization.

²Ultimate Beneficial Owner (UBO) definition:

"Ultimate Beneficial Owner" or "UBO" – an individual who ultimately owns or controls the customer or an individual on whose behalf a transaction is being conducted or executed.

The beneficial owner is:

1. In case of a legal person:
 - an individual who directly or indirectly exercises ultimate control over the management of a legal person or ultimately owns or controls a sufficient percentage of the shares or voting rights of that legal person or another legal person (or several legal persons) that has (have) sufficient percentage of shares in the legal person, including through bearer share holdings or by other means (a percentage of 25% plus one share shall be deemed sufficient to meet this criterion), save a company listed on a regulated market that is subject to disclosure requirements subject to international standards;
 - an individual who is senior manager of the legal person, if an individual indicated above is not established or if there are any doubts that the individual established is not a beneficial owner;
2. in case of a trust:
 - the trustor or settlor;
 - the trustees;
 - protector, if such exists;
 - beneficiary (individual) or where such individual benefiting from the trust has not yet been determined, the class of persons in whose main interest the trust is set up, or operates;
 - other individual who has direct or indirect control over the structure of trust by ownership or other means.

C. Personal contact details

1. Permanent residential address

Address line 1

Address line 2 *optional*

Town/City

Postcode/Zip code

Country

2. Email

This email will be used for verification and communication purposes.

3. Mobile phone number *(please include country code)*

This mobile phone number will be used for verification and communication purposes.

4. Security question

Please tick one of the questions below and provide the answer.

- What is your first pet's name? What is your favorite food? What is your favorite movie character?

Security answer _____

You will be asked to provide your security answer to identify yourself when giving instructions and making inquiries over the phone.

5. Is your communication address different than your residential address?

- Yes *If yes, please proceed to question 6.* No *If no, please proceed to section D.*

6. Alternative mailing address

If different from residential address.

Address line 1 _____

Address line 2 optional _____

Town/City _____

Postcode/Zip code _____

Country _____

D. Advanced personal details

1. What is your employment status?

Tick the box that is applicable to you

- Employed Self-employed* Unemployed** Retired**
 Other

Please provide more details.

**If you are self-employed please provide details of your individual business, e.g.: certificate of incorporation.*

***If you are retired or unemployed please provide details of your last employment before retirement or unemployment commenced.*

2. What is your current employer's name?

3. What is the position you are currently holding?

4. What is your monthly income in euro *(or in euro value)*?

E. Personal financial details

1. Expected regular monthly total volume of incoming funds in your ConnectPay account in euro *(or in euro value)*

2. Which currencies would you like to have available for payments?

Note: for incoming funds only EURO is available.

- AED AUD BGN CAD CHF CNY CZK DKK EUR GBP HKD
 HRK HUF ILS JPY MXN NOK NZD OMR PLN QAR RON
 SAR SEK SGD THB TRY USD ZAR

3. Expected average amount per single payment from your ConnectPay account in euro *(or in euro value)*

4. Expected highest single transaction amount in the next 12 months incoming or outgoing from your ConnectPay account in euro *(or in euro value)*

5. What will be the source of incoming funds into your ConnectPay account?

List all possible sources from all your business activities.

Savings

Assets investments

Sale of property/assests

Personal loans.

Lottery / gaming winnings

Other

If you checked other, please explain.

6. Will your income be paid directly into this account?

Yes

No

Tax regulations require us to collect information regarding the account holder's tax residency. We may be required to share this information with the relevant tax authorities.

7. Country of residence for tax purposes

8. Tax Identification Number (TIN)

9. Are there any other tax residency countries?

Yes *(if yes, please state the countries)*

No

Tax residency country

Tax Identification Number (TIN)

A. _____

B. _____

10. If a TIN is not available please select the reason applicable

The country where the account holder is liable to pay tax does not issue TINs to its residents

The account holder is otherwise unable to obtain a TIN or equivalent number

Please explain why you are unable to obtain a TIN.

The authorities of the country of tax residence do not require the TIN to be disclosed

11. FATCA status

Are you a US citizen or US tax resident?

Yes

No

F. List of supporting documents required to open your personal IBAN account

Supporting documentation is required to complete the application process. More details on what additional information is required are provided below.

ID verification for the individual on this application form

The individual on this form has to be verified. One of the below 3 verification methods will be applied.

Different verification method may apply on the below stated criteria:

- Verification method 1
 - Applied if ID document of the individual is from a country stated on [this list](#).
 - This verification method is completed remotely
- Verification method 2

- Applied if the requirement listed for Verification method 1 is not met, but the individual has a personal bank account in his/her name in an EU country
- Individual will be asked to wire a small amount (can be as small as €0.01) from his personal EU bank account to a ConnectPay account
- This verification method is completed remotely
- Verification method 3
 - You can opt for method 3 even if method 1 or 2 applies.*
 - Applied if the requirement listed for Verification method 2 is not met
 - This verification method is completed face-to-face

The required supporting documentation for each of the 3 methods is stated below.

The individual has to provide supporting documents according to the below table.

		Method 1	Method 2	Method 3
1	Clear copy of passport or national ID	Completed during video call at later stage, no additional documentation required	Soft copy via email. Notarized and apostilled hard copy via physical mail	Completed at face-to-face meeting
2	ConnectPay terms and conditions - signed	No additional documentation required	Soft copy via email. Hard copy via physical mail	Completed at face-to-face meeting

ID verification for the UBO listed on this application form

Verification for such individual is completed remotely. The below stated document is required:

		Method
1	Clear copy of passport or national ID	Soft copy via email only

General notes

- Please make sure to provide all documents as stated above to avoid delays with your application.
- ConnectPay reserves the right to ask for additional documents at any time.
- For sending hard copies by physical mail please use the following address: Konstitucijos ave. 12, 09308 Vilnius, Lithuania

G. Data protection and declaration

In relation to the GDPR (General Data Protection Regulation) and other applicable legal acts ConnectPay will process the data provided in this online application and any other data which you subsequently give to us in any manner in order to fulfill the agreements between customer and ConnectPay, for the following purposes:

- To provide, develop or improve our products and services, or otherwise for legitimate business reasons;
- For internal assessment and analysis (e.g. automated profiling, market and product analysis);
- If we are under a duty to disclose or share your data to comply with any legal or regulatory obligation; or to protect our rights, property, or safety of our employees, customers, or others. This includes exchanging information with other companies and organizations for the purposes of fraud protection.

Please note that your personal data may, for the above purposes, also be disclosed to or shared with other entities in the ConnectPay group of companies, and other third parties entrusted with providing ConnectPay with due diligence, software development and maintenance, and payment processing services.

ConnectPay may periodically request you to re-confirm the above data, you should inform us immediately if any such data has changed. You have the right to ask us to inform you about the personal data we hold about you, and to request the correction or erasure of that data in accordance with ConnectPay Privacy Policy.

From time to time, we would like to inform you (by post, telephone, fax, e-mail or other electronic means) about our future products and services.

To opt-in to receive such information, please tick this box.

ConnectPay respects your privacy and will not share your personal data with third parties for marketing purposes. For more information please refer to our [Privacy Policy](#).

Name

Date

Signature

APPENDIX A – Ultimate Beneficial Owner (UBO) details

Please fill this appendix if you will not be the beneficial owner of the funds in the account.

1. First name and last name

2. Country of citizenship

3. Date of birth (DD/MM/YYYY)

4. Place of birth (city, country)

5. Type of ID submitted

Passport National ID

ID no.

ID issue date (DD/MM/YYYY)

ID expiry date (DD/MM/YYYY)

ID issue country

ID issue city

6. Personal code

7. PEP¹ (Politically Exposed Person) status

Yes If yes, please state the role/position

No

PEP role/position

8. Permanent residential address

Address line 1

Address line 2 optional

Town/City

Postcode/Zip code

Country
